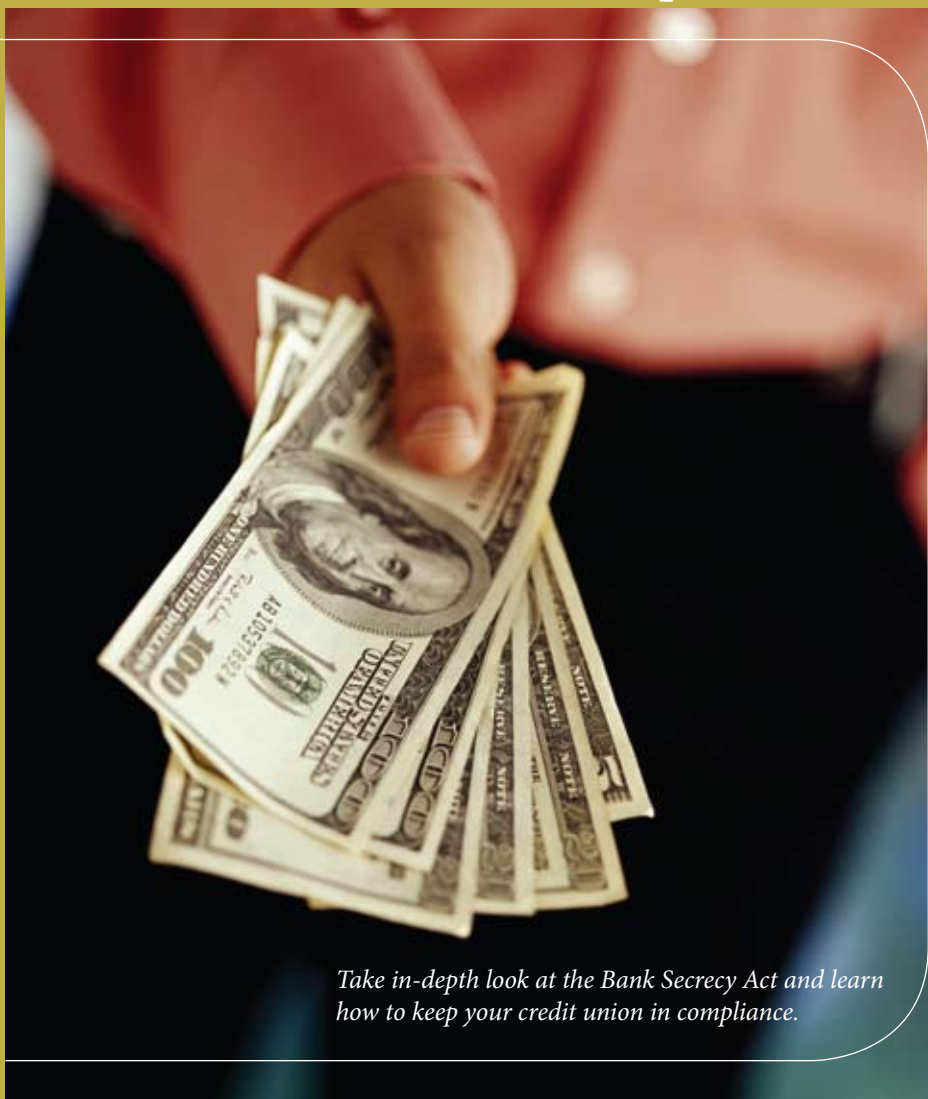


Bank Secrecy Act / OFAC Workshops



Take in-depth look at the Bank Secrecy Act and learn how to keep your credit union in compliance.

January 27 • Atlanta, GA
January 28 • Columbia, SC
July 15 • Greensboro, NC
December 8 • Greensboro, NC

THE POWER OF PARTNERSHIP

GEORGIA CREDIT UNION
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NORTH CAROLINA
CREDIT UNION LEAGUE
Committed to helping credit unions succeed



{ Bank Secrecy Act / OFAC Workshops

NCUA and state examiners are committed to enforcing the Bank Secrecy Act (BSA), so complying with the regulation should be a top priority for every credit union. Note the NCUA has also released its plan to hire at least 100 additional field examiners. Get the information you need to ensure your training requirements have been met before your examiner walks in the door. This seminar is beneficial for all credit union managers, frontline staff, and compliance professionals.

- ▶ Take a close look at the requirements of the BSA and Customer Identification Program (CIP)
- ▶ Receive an overview of the Office of Foreign Assets Control (OFAC) and the PATRIOT Act
- ▶ Review sample policies and examples of noncompliance
- ▶ Identify the penalties that regulators could potentially impose on your credit union
- ▶ Learn when and how to complete a Currency Transaction Report (CTR) and Suspicious Activity Report (SAR)
- ▶ Understand how to comply with annual independent testing requirements
- ▶ Learn the recordkeeping process
- ▶ Identify appropriate due diligence and internal control procedures

INSTRUCTORS

▶ JANUARY 27 & 28

Attorney R. Todd Shery

R. Todd Shery is a founding partner in the Shery & Jones law firm of Lexington, South Carolina. Todd and his team are dedicated to serving the legal needs of credit unions and have attained a national reputation for their work in legal-auditing, compliance, and bankruptcy/collections. Todd has also authored CUNA's RegTraC and VAP training modules and serves as editor of Credit Union Legal News®.

▶ JULY 15 & DECEMBER 8

Kim Bohannon, CUCE

As vice president of compliance and risk management for North Carolina Credit Union League, Kim offers guidance on how to comply with laws and regulations that affect credit unions. She helped organize the league's Risk Management Council, which allows credit unions to share information to reduce fraud and identity theft. Kim holds the Credit Union Compliance Expert (CUCE) designation.

Register online today!

Georgia training.gcuu.org

North Carolina training.ncleague.org

South Carolina training.sccul.org

For registration information or assistance, e-mail reginfo@cuna.coop or call 800-356-9655, ext. 4400.

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January 28 • Columbia, SC
July 15 • Greensboro, NC
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► LOCATIONS

January 27

Hilton Atlanta Airport
1031 Virginia Ave
Atlanta, GA 30354
Phone: 404-767-9000

January 28

Saluda Shoals Park, River Center
5605 Bush River Road
Columbia, SC 29212
Phone: 803-772-1228

July 15 & December 8

North Carolina Credit Union League
4160 Piedmont Pkwy.
Greensboro, NC 27410-8109
Phone: 800-822-8859

► SCHEDULE

8:30–9:00 a.m.	Registration
9:00 a.m.–Noon	Educational Sessions
Noon–1:00 p.m.	Networking Lunch
1:00–3:00 p.m.	Educational Sessions

► TUITION

Tuition is \$179. Credit unions under \$10 million in assets receive a 25% discount. For information call 800-356-9655, ext. 4400. Pay directly out of your account by selecting ACH Debit on your registration form.

► CONFIRMATIONS

When you register online and pay with ACH or credit card, you will receive your registration confirmation within three business days. Please allow up to 10 days if you pay by check. For assistance, e-mail reginfo@cuna.com or call 800-356-9655, ext. 4400.

► CANCELLATIONS

Cancellations received in writing (via fax 608-231-4327) seven or more days before the start of the program are eligible for a refund of the amount paid minus a \$50 administrative fee. No refunds will be granted if cancellation is received six days or less before a program begins. Substitutions are accepted anytime prior to the start of the program.

MORE COMPLIANCE RESOURCES

You'll find links to these additional resources on your league or affiliates training website.

Regulatory Compliance Update

Stay up-to-date with compliance information – hear from industry experts on compliance challenges they see facing credit unions today.

March 25–26 • Columbia, SC
September 16–17 • Greensboro, NC
October 13–14 • Atlanta, GA
October 15–16 • Columbia, SC

CUNA Regulatory Compliance Schools

Gain an in-depth understanding of the credit union regulatory environment and know how to comply with each regulation. Optional testing is available for the designation of Credit Union Compliance Expert (CUCE).

Introduction

April 26–May 1 • St. Pete Beach, FL
September 27–October 2 • San Diego, CA

Update

April 26–30 • St. Pete Beach, FL
September 27–October 1 • San Diego, CA

CUNA Bank Secrecy Act Conference

Grow your knowledge of the Bank Secrecy Act in this conference led by credit union compliance experts and representatives from federal agencies.

November 1–4 • San Francisco, CA

RegTraC Self-Study

This self-study training and certification program from CUNA offers two levels of training for those in compliance or non-compliance roles. Visit training.cuna.org and choose *Self-Study Certificate Programs*.

Training on Demand

Discover instant training at the click of a button. This downloadable, video-like training tool offers 30-45 minute presentations on critical issues for your staff and board. Only \$199 each or free for **CPDOnline** members. Visit training.cuna.org and choose *Training on Demand*. Check out these compliance related topics:

- Bank Secrecy Act for Volunteers
- Bank Secrecy Act for Front-Line Staff
- Regulation CC



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